

199.40006X00 15-0254

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Kenneth Wagner AULL et al

Serial No.:

10/027,607

Filed:

December 19, 2001

For: -

PUBLIC KEY INFRASTRUCTURE

TOKEN ISSUANCE AND BINDING

GRUUT --- 0

Group:

3652

Examiner:

To Be Assigned

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97 AND §1.98

Commissioner for Patents P.O. Box 145 Alexandria, VA 22313-1450 January 7, 2004

Sir:

In the matter of the above-identified application, Applicants are submitting herewith a copy of a communication from a foreign patent office in a counterpart foreign application and copies of the documents listed in the attached form PTO/SB/08A form for the Examiner's consideration.

This Information Disclosure Statement is being submitted before the mailing date of a first office action on the merits.

Each of the documents listed on the attached PTO/SB/09A form is in the English language.

The requirement of 37 C.F.R. §1.98(a)(3) for a concise explanation of the relevance is satisfied by an English language version or translation of the foreign patent office report citing the documents.

It is respectfully requested that this Information Disclosure Statement be considered by the Examiner.

Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account No. 01-2135 (199.40006X00) and please credit any excess fees to such Deposit Account.

Respectfully submitted,

ANTONELLI, TERRY, STOUT & KRAUS, LLP

Donald E. Stout

Registration No. 26,422

(703) 312-6600

Attachments

DES:dlh

PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO				Complete if Known			
				Application Number	10/027,607		
	INFORMATION D			Filing Date	December 19, 2001		
STATEMENT BY APPLICANT				First Named Inventor	Kenneth Wagner AULL et al		
				Art Unit	3652		
	(use as many sheets	as necessary)		Examiner Name	To Be Assigned		
Sheet	1	of	1	Attorney Docket Number	199.40006X00		

ij

			U.S. PATENT I	DOCUMENTS	
Examiner Initials'	Cite No.1	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant
minais	140.	Number-Kind Code ² (if known)	MM-DD-YYYY	Applicant of Cited Document	Figures Appear
		US-5,970,147	10-19-1999	Davis	
		US-			
		บร-			-10 12
		US-		REC	
	<u> </u>	US-			
		US-		JAN 1	2 2004
		US-			- VV
		US-		CDOIS	0.01.00
		US-		unuu	? 3600
		US-			
		US-			
		US-			

		FOREIG	N PATENT DO	CUMENTS		
Examiner Initials'	Cite No. ¹	Foreign Patent Document	Publication Date		Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	MM-DD-YYYY			
		PCT WO 99/19846	04-22-1999	VISA INTERNATIONAL SERVICE ASSOCIATION		
						\vdash
						├

Examiner Date Signature Considered			
Characters	Examiner	Date	
Signature		Date	
Oolisideled	Signature	Considered	

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard St.3). ⁵For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.